Kenneth A. Plevan Bruce J. Goldner Stephanie J. Kamerow SKADDEN, ARPS, SLATE, MEAGHER & FLOM LLP Four Times Square New York, New York 10036-6522 (212) 735-3000

Attorneys for Merrill Lynch & Co., Inc.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE BEFORE THE TRADEMARK TRIAL AND APPEAL BOARD

In the Matter of Trademark Reg. No. 2,825,379 and Application Serial No. 75/753,438 Marks: MERRILLCONNECT and MERRILL E-COLLABORATE Registrant/Applicant: MERRILL COMMUNICATIONS LLC.

MERRILL LYNCH & CO., INC.,

Cancellation No.

Petitioner/Opposer,

-against-

Opposition No.

MERRILL COMMUNICATIONS LLC.,

Registrant/Applicant.

12/03/2004 SWILSON1 00000115 192385 2825379 ______ x

300.00 DA 01 FC:6402

COMBINED PETITION FOR CANCELLATION AND NOTICE OF OPPOSITION

Merrill Lynch & Co., Inc. ("Merrill Lynch"), a corporation organized and existing under the laws of the State of Delaware, and having a business address at Four World Financial Center, North Tower, New York, NY 10080, believes that: (i) it is being, and will continue to be, damaged by U.S. Registration No. 2,825,379 for the mark MERRILLCONNECT to Merrill Communications LLC. ("MCC"); and (ii) it would be damaged by the registration of the mark MERRILL E-COLLABORATE, shown in U.S. Trademark Application Serial No. 75/753,438, to MCC.



Accordingly, Merrill Lynch hereby: (i) petitions to cancel the registration of MCC's MERRILLCONNECT mark pursuant to 15 U.S.C. § 1064 and 37 C.F.R. § 2.111; and (ii) opposes the registration of MERRILL E-COLLABORATE pursuant to 15 U.S.C. § 1063 and 37 C.F.R. § 2.101.

As grounds for cancellation, Merrill Lynch alleges upon personal knowledge for its own acts and upon information and belief with respect to all other matters, as follows:

FACTS COMMON TO PETITION TO CANCEL AND NOTICE OF OPPOSITION

Merrill Lynch's Famous Family of Merrill Lynch Marks

- which Respondent relies, Merrill Lynch has offered throughout the United States, a variety of financial and other services under or in connection with numerous MERRILL and MERRILL LYNCH marks (collectively, the "MERRILL LYNCH Marks"), including, without limitation, the following: MERRILL LYNCH, U.S. Reg. No. 1,272,653 (incontestable); 1-800 MERRILL, U.S. Reg. No. 2,366,321; ASKMERRILL.COM, U.S. Reg. No. 2,482,166; MERRILL LYNCH DIRECT, U.S. Reg. No. 2,465,877, and MERRILL LYNCH ONLINE, U.S. Reg. No. 2,353,416. (See Exhibit A hereto).
- 2. By virtue of extensive use and promotion of the MERRILL LYNCH Marks in connection with Merrill Lynch's goods and services in the United States and throughout the world, the Merrill Lynch Marks have acquired substantial distinctiveness, goodwill, and secondary meaning in the United States and elsewhere in

the world as indicating goods and services having their origin exclusively with Merrill Lynch.

3. By virtue of extensive use and promotion of Merrill Lynch's MERRILL LYNCH Marks throughout the United States and elsewhere in the world, the MERRILL LYNCH Marks have become famous as that term is used in Section 43(c) of the Lanham Act.

MCC's MERRILLCONNECT and MERRILL E-COLLABORATE Marks

- 4. On March 23, 2004, MCC registered the mark
 MERRILLCONNECT for the following goods and services in the following classes: (i)
 computer software for use in database management and generating financial reports in the
 field of sales and marketing information for use in business in Class 9; (ii) business and
 management consultation services in Class 36; and (iii) providing telecommunications
 connections via a global computer network for the transfer and dissemination of a
 business' sales, marketing and financial data; electronic transmission of a business' sales,
 marketing and financial data via a global computer network in Class 38.
- 5. On July 14, 1999, MCC filed an application to register the mark MERRILL E-COLLABORATE, which application currently is pending for communication services, namely, providing on-line chat rooms and on-line bulletin boards for the transmission of electronic messages among computer users concerning the drafting and proofing of financial documents; electronic transmission of financial data and documents via computer terminals in Class 38.
- 6. The MERRILLCONNECT and MERRILL E-COLLABORATE marks feature terms similar to the MERRILL LYNCH Marks, for which Merrill Lynch and its goods and services have come to be identified. MCC's goods and services are

related to Merrill Lynch's goods and services, and are directed to the same channels of trade and some of the same customers to which Merrill Lynch's goods and services offered under the MERRILL LYNCH Marks are directed.

7. The MERRILL LYNCH Marks have priority of use and registration to MCC's MERRILLCONNECT and MERRILL E-COLLABORATE marks.

PETITION TO CANCEL AND NOTICE OF OPPOSITION

Confusion and Dilution

- 8. On November 18, 2002, Merrill Lynch filed Application Serial No. 76/467846 for registration of the mark TOTAL MERRILL for financial services, namely insurance agency and brokerage services, real estate financing services, real estate investment services, real estate management services, real estate agency and brokerage services, security and commodity brokerage and dealing services, underwriting mortgage lending, investment banking, mortgage banking, financial management services and financial advisory services, namely, financial analysis and consultation in Class 36.
- 9. On March 31, 2003, the PTO issued an Office Action suspending Merrill Lynch's TOTAL MERRILL application on grounds that "there may be a likelihood of confusion between [Merrill Lynch's TOTAL MERRILL] mark and [MCC's MERRILL E-COLLABORATE and MERRILLCONNECT] mark[s] under Section 2(d) of the Act."
- 10. The MERRILLCONNECT and MERRILL E-COLLABORATE marks are confusingly similar to MERRILL LYNCH Marks, and MERRILLCONNECT and MERRILL E-COLLABORATE thereby create a likelihood of confusion that MCC's

products and services originate with or are otherwise licensed, sponsored, or authorized by Merrill Lynch.

11. Given the close similarity in commercial impression of the MERRILLCONNECT and MERRILL E-COLLABORATE marks to the MERRILL LYNCH Marks, the MERRILLCONNECT and MERRILL E-COLLABORATE marks create a likelihood of dilution of Merrill Lynch's distinctive and famous MERRILL LYNCH Marks.

Injury to Merrill Lynch

12. By reason of all of the foregoing, Merrill Lynch: (i) is being, and will continue to be, damaged by U.S. Registration No. 2,825,379 for the mark MERRILLCONNECT to MCC; and (ii) will be damaged by the registration of the mark MERRILL E-COLLABORATE, shown in U.S. Trademark Application Serial No. 75/753,438, to MCC.

Relief Requested

WHEREFORE, Merrill Lynch requests that: (i) the MERRILLCONNECT registration be cancelled in its entirety, and that the Petition for Cancellation be sustained in favor of Merrill Lynch; and (ii) the Notice of Opposition be sustained, and the registration of MERRILL E-COLLABORATE to MCC be refused.

A duplicate copy of this Combined Petition for Cancellation and Notice Opposition is enclosed herewith pursuant to 37 C.F.R. § 2.112(a).

Please charge the \$600 filing fee to Merrill Lynch's undersigned attorneys'

[Deposit Account No. 19-2385.]

Dated: November 19, 2004

Respectfully submitted,

Kenneth A. Plevan Bruce J. Goldner

Stephanie J. Kamerow

SKADDEN, ARPS, SLATE,

MEAGHER & FLOM LLP

Four Times Square

New York, New York 10036-6522

Vancen

(212) 735-3000

Attorneys for Petitioner/Opposer Merrill Lynch & Co., Inc.

CERTIFICATE OF MAILING UNDER 37 CFR § 1.10

"Express Mail" Mailing Label Number EV 384041668 US

Date of Deposit:

November 19, 2004

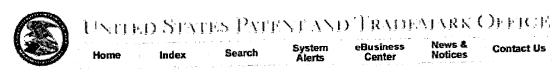
I hereby certify that the foregoing is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner for Trademarks, P.O. Box 1451, Alexandria, VA 22313-1451.

Name of Person Mailing: Sylvia Marquez

Signature of Person Mailing

Exhibit A

Contact Us



Trademark Electronic Search System(Tess)

TESS was last updated on Fri Nov 19 04:25:52 EST 2004

PTO HOME TRADEMARK TESS HOME NEW USER STRUCTURED FREE FORM BOTTOM BROWSE DICT

Logout Please logout when you are done to release system resources allocated for you.

Record 1 out of 1

(TARR contains current status, correspondence address and attorney of record for this mark. Use the "Back" button of the Internet Browser to return to TESS)

Typed Drawing

Check Status

MERRILL LYNCH Word Mark

IC 016. US 038. G & S: Books, Pamphlets, Newsletters, and Brochures Concerning Goods and Financial Services. FIRST USE: 19790501. FIRST USE IN COMMERCE: 19790501 Services

Mark Drawing (1) TYPED DRAWING Code

Serial Number 73390207

September 27, 1982 Filing Date

Current Filing Basis

Original Filing 1A **Basis**

Published for January 10, 1984 Opposition

Registration 1272653 Number

Registration April 3, 1984 Date

(REGISTRANT) Merrill Lynch & Co., Inc. CORPORATION DELAWARE 4 World Owner

Financial Center New York NEW YORK 10080

Attorney of PATRICK ROMAIN Record

TRADEMARK Type of Mark PRINCIPAL Register

SECT 15. SECT 8 (6-YR). SECTION 8(10-YR) 20040430. Affidavit Text

1ST RENEWAL 20040430 Renewal

Other Data

THE NAMES "MERRILL" AND "LYNCH" REFER TO CHARLES E. MERRILL AND EDMUND CALVERT LYNCH, RESPECTIVELY, CO-FOUNDERS OF A SUCCESSOR COMPANY OF MERRILL LYNCH & CO., INC., NEITHER OF

WHOM IS ALIVE TODAY.

Live/Dead **Indicator**

LIVE

PTO HOME TRADEMARK TESS HOME NEW USER STRUCTURED FREE FORM BROWSEDICT

TOP

HELP

HOME | INDEX | SEARCH | SYSTEM ALERTS | BUSINESS CENTER | NEWS&NOTICES | **CONTACT US | PRIVACY STATEMENT**



Trademark Electronic Search System(Tess)

TESS was last updated on Fri Nov 19 04:25:52 EST 2004

PTO HOME TRADEMARK TESS HOME NEW USER STRUCTURED FREE FORM BROWSE DICT BOTTOM

Logout | Please logout when you are done to release system resources allocated for you.

Record 1 out of 1

(TARR contains current status, correspondence address and attorney of record for this mark. Use the "Back" button of the Internet Browser to return to TESS)

Typed Drawing

Check Status

Word Mark

1-800 MERRILL

Goods and Services IC 036. US 100 101 102. G & S: financial consulting services. FIRST USE:

19850101. FIRST USE IN COMMERCE: 19850101

Mark Drawing

Code

(1) TYPED DRAWING

Serial Number

75766942

Filing Date

August 3, 1999

Current Filing

Basis

1A

Original Filing

Basis

1 A

Published for

Opposition

April 18, 2000

Registration

Number

2366321

Registration Date

July 11, 2000

Owner

(REGISTRANT) Merrill Lynch & Co., Inc. CORPORATION DELAWARE 222

Broadway New York NEW YORK 10038

Attorney of Record Patrick Romain

Prior Registrations 1280908

Disclaimer

NO CLAIM IS MADE TO THE EXCLUSIVE RIGHT TO USE "1-800" APART

FROM THE MARK AS SHOWN

Type of Mark

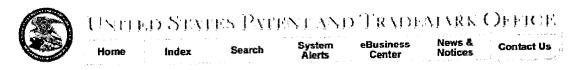
SERVICE MARK

Register

PRINCIPAL

Live/Dead

LIVE



Trademark Electronic Search System(Tess)

TESS was last updated on Fri Nov 19 04:25:52 EST 2004

PTO HOME TRADEMARK TESS HOME NEW USER STRUCTURED FREE FORM BROWSE DICT HELP

Logout | Please logout when you are done to release system resources allocated for you.

Record 1 out of 1

(TARR contains current status, correspondence address and attorney of record for this **Check Status** mark. Use the "Back" button of the Internet Browser to return to TESS)

Askmerrill.com

Word Mark ASKMERRILL.COM

Goods and **Services**

IC 036. US 100 101 102. G & S: Financial Services, namely the provision of financial information including information concerning banking, investment, real estate brokerage, stocks, bonds, shares, securities and futures brokerage, insurance, mortgage, mutual funds, monetary exchange, financial management and investment services, asset management, contracts for difference (Options/derivatives), pension fund management, trust services, estate planning, financial planning, unit trusts, open-ended investment

companies and investment trusts. FIRST USE: 19981102. FIRST USE IN

COMMERCE: 19981102

Mark

Drawing Code

(5) WORDS, LETTERS, AND/OR NUMBERS IN STYLIZED FORM

Serial

76086533

Number **Filing Date**

July 11, 2000

Current

1**A**

Filing Basis

Original Filing Basis

1**A**

Published for

June 5, 2001

Opposition Registration

2482166

HELP

Number

Registration

August 28, 2001

Date Owner

(REGISTRANT) Merrill Lynch & Co., Inc. CORPORATION DELAWARE 222

Broadway, 17th Floor New York NEW YORK 10038

Type of Mark

SERVICE MARK

Register

PRINCIPAL

Live/Dead

Indicator

LIVE

PTO HOME TRADEMARK TESS HOME NEW USER STRUCTURED FREE FORM BROWSE DICT HOME | INDEX | SEARCH | SYSTEM ALERTS | BUSINESS CENTER | NEWS&NOTICES | CONTACT US | PRIVACY STATEMENT



United States Patent and Trademark Office

Index

Search

System

eBusiness

News & **Notices**

Contact Us

Trademark Electronic Search System(Tess)

TESS was last updated on Fri Nov 19 04:25:52 EST 2004

PTO HOME TRADEMARK TESS HOME NEW USER STRUCTURED FREE FORM BROWSE DICT

Воттом

HELP

Logout Please logout when you are done to release system resources allocated for you.

Record 1 out of 1

Check Status

(TARR contains current status, correspondence address and attorney of record for this mark. Use the "Back" button of the Internet Browser to return to TESS)

Typed Drawing

Word Mark

MERRILL LYNCH DIRECT

Goods and Services

IC 036. US 100 101 102. G & S: financial services, in the nature of investment planning, FIRST USE: 19991231. FIRST USE IN COMMERCE: 19991231

Mark Drawing

Code

(1) TYPED DRAWING

Serial Number

75131126 July 8, 1996

Filing Date

Current Filing

Basis

1A

Original Filing

Basis

1B

Published for Opposition

December 23, 1997

Registration

Number

2465877

Registration Date July 3, 2001

Owner

(REGISTRANT) Merrill Lynch & Co., Inc. CORPORATION DELAWARE 250

Vesey Street, 34th Floor New York NEW YORK 10281

Attorney of

Record

PATRICK ROMAIN

Prior Registrations

1272653;1280908;AND OTHERS

Type of Mark

SERVICE MARK

Register

PRINCIPAL

Other Data

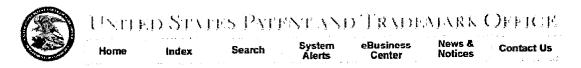
The mark does not identify a living individual.

Live/Dead Indicator

LIVE

PTO HOME TRADEMARK TESS HOME NEW USER STRUCTURED FREE FORM BROWSE DICT TOP HELP

HOME | INDEX | SEARCH | SYSTEM ALERTS | BUSINESS CENTER | NEWS&NOTICES | CONTACT US | PRIVACY STATEMENT



Trademark Electronic Search System(Tess)

TESS was last updated on Fri Nov 19 04:25:52 EST 2004

HELP PTO HOME TRADEMARK TESS HOME NEW USER STRUCTURED FREE FORM BROWSEDICT Воттом

Logout | Please logout when you are done to release system resources allocated for you.

Record 1 out of 1

Check Status (TARR contains current status, correspondence address and attorney of record for this mark. Use the "Back" button of the Internet Browser to return to TESS)

Typed Drawing

Word Mark MERRILL LYNCH ONLINE

Goods and Services

IC 009. US 021 023 026 036 038. G & S: COMPUTER SOFTWARE FOR ACCESSING FINANCIAL AND ACCOUNT INFORMATION. FIRST USE:

19960430. FIRST USE IN COMMERCE: 19960430

IC 036. US 100 101 102. G & S: FINANCIAL SERVICES IN THE NATURE OF FINANCIAL CONSULTATION AND INVESTMENT ACCOUNT PLANNING

AND FINANCIAL SERVICES IN THE NATURE OF FINANCIAL

CONSULTATION AND INVESTMENT ACCOUNT PLANNING PROVIDED THROUGH A GLOBAL NETWORK. FIRST USE: 19960430. FIRST USE IN

COMMERCE: 19960430

Mark

(1) TYPED DRAWING **Drawing Code**

Serial Number 75615711

Filing Date

January 5, 1999

Current Filing 1A

Basis

Original Filing _{1A}

Basis

Published for

March 7, 2000

Opposition Registration

2353416

Number Registration

Date

May 30, 2000

Owner

(REGISTRANT) Merrill Lynch & Co., Inc. CORPORATION DELAWARE 250

Vesey Street World Financial Center New York NEW YORK 102811334

HELP

Attorney of

PATRICK ROMAIN

Record **Prior**

1280908;1757209;1789640;AND OTHERS

Registrations Disclaimer

NO CLAIM IS MADE TO THE EXCLUSIVE RIGHT TO USE "ONLINE" APART

FROM THE MARK AS SHOWN

Type of Mark TRADEMARK. SERVICE MARK

Register

PRINCIPAL

Live/Dead

Indicator

LIVE

CONTACT US | PRIVACY STATEMENT

PTO HOME TRADEMARK TESS HOME NEW USER STRUCTURED FREE FORM SROWSEDICT HOME | INDEX | SEARCH | SYSTEM ALERTS | BUSINESS CENTER | NEWS&NOTICES |

http://tess2.uspto.gov/bin/showfield?f=doc&state=amb38h.5.1